Observing Gestures During L2 Word Learning Facilitates Differentiation Between Unfamiliar Speech Sounds and Word Meanings

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Abstract
This study investigated how observing pitch gestures conveying lexical tones and representational gestures conveying word meanings when learning L2 Mandarin words differing in lexical tone affects their subsequent semantic and phonological processing in L1 English speakers using the N400 event-related potential (ERP). Larger N400s for English target words mismatching vs. matching Mandarin prime words in meaning were observed for words learned with pitch and representational gesture, but not no gesture. Additionally, larger N400s for Mandarin target words mismatching vs. matching Mandarin prime words in lexical tone were observed for words learned with pitch gesture, but not representational or no gesture. These findings provide the first ERP evidence that observing gestures conveying phonological and semantic information during L2 word learning enhances subsequent phonological and semantic processing of learned L2 words.

Keywords: gesture; L2 word learning; N400

Introduction
Lexical tone refers to the use of pitch in language to differentiate between word meanings or inflections (Yip, 2002). Lexical tone differs from intonation, which refers to the use of pitch in language to convey emotion and emphasis (Cruttenden, 1997). When learning a tonal second language (L2), such as Mandarin, speakers of atonal first languages (L1s), such as English, must learn to differentiate between lexical tones, which can be quite challenging (Pelzl, 2019). In Mandarin, there are four lexical tones, each with a distinct pitch contour: Tone 1 (high-flat), Tone 2 (rising), Tone 3 (low or low-dipping), and Tone 4 (falling; Chao, 1965; Ho, 1976; Howie, 1974). Because many words in Mandarin differ minimally in lexical tone, learning to differentiate between these pitch contours is critical to differentiating between words when L1 speakers of English learn Mandarin as an L2.

One way to enhance L2 acquisition of Mandarin lexical tones by L1 English speakers is by showing visual depictions of the pitch contours of lexical tones as they are heard at learning (Bluhme & Burr, 1971; Godfroid et al., 2017; Liu et al., 2011). The combination of these visual and acoustic cues results in robust multimodal representations of lexical tone, as postulated by dual coding theory (Paivio, 1990). Moreover, observing pitch gestures, which convey pitch contours haptically as well as visually, also facilitates L2 acquisition of Mandarin lexical tones by L1 English speakers (Baills et al., 2019; Hannah et al., 2017; Morett et al., 2022; Zhen et al., 2019). This finding is in line with other work showing that observing gestures conveying unfamiliar phonological contrasts via their form and motion facilitate their acquisition in an unfamiliar L2 (Hirata et al., 2014b, 2014a; Hoetjes & Van Maastricht, 2020; Xi et al., 2020).

Thus, observing gestures conveying L2 speech sounds may enrich representations via mental simulation of embodied action, as postulated by theories of embodied cognition (Barsalou, 2008; Gibbs, 2006; Shapiro, 2019). Moreover, pitch gestures and visual depictions of pitch contours are grounded in the vertical conceptual metaphor of pitch, in which high pitch is associated with the upward direction and low pitch is associated with the downward direction (Casasanto & Boroditsky, 2003; Connell et al., 2013; Morett et al., 2022). Indeed, observing visual depictions and pitch gestures incongruent with the pitch contours of lexical tones hinders their L2 acquisition (Morett et al., 2022), suggesting that visual-auditory mappings conflicting with the vertical conceptual metaphor of pitch may result in less robust representations of L2 lexical tones.

In addition to enhancing acquisition of L2 Mandarin lexical tones, observing congruent pitch gestures at learning enhances differentiation between the meanings of L2 Mandarin words differing minimally in lexical tone (Baills et al., 2019; Morett & Chang, 2015). Although the visual and haptic features of pitch gestures do not map directly onto word meanings, their mapping onto pitch contours facilitates differentiation between lexical tones, which is necessary to differentiate between word meanings. In contrast to pitch gestures, representational gestures have visual and haptic features that map directly onto word meanings. A large body of previous research indicates that observing representational gestures conveying the meanings of L2 words from atonal languages at learning enhances subsequent association of these words with their meanings (Allen, 1995; Garcia-Gmez & Macizo, 2019; Kelly et al., 2009; Macedonia et al., 2011; Porter, 2016; Tellier, 2008). Conversely, some research also indicates that observing representational gestures incongruent with the meanings of L2 words from atonal languages at learning interferes with subsequent association of these words with their meanings (Garcia-Gmez & Macizo, 2019; Kelly et al., 2009). Importantly, however, such effects have not been observed for phonologically similar L2 words learned with representational gestures in either atonal or tonal languages (Kelly & Lee, 2012; Morett & Chang, 2015), suggesting that observing representational gestures at learning may distract attention from key phonological distinctions between these L2 words.

One way to gain further insight into how observing pitch and representational gestures affects L2 acquisition of Mandarin words differing minimally in lexical tone is by examining the N400 event related potential (ERP). The N400 is a late posterior negativity reflecting semantic integration,
with larger N400s indicating lower semantic relatedness and smaller N400s indicating higher semantic relatedness. In L1, target words accompanied by representational gestures with incongruent meanings elicit a larger N400 than target words accompanied by representational gestures with congruent meanings (Bernardis et al., 2008; Holle & Gunter, 2007; Kelly et al., 2004; Wu & Coulson, 2005, 2007). These differences in N400s are similar to those elicited by words with meanings incongruent vs. congruent with sentential contexts (Ozyurek et al., 2007), providing evidence that representational gestures are integrated semantically with words similarly to how words are integrated semantically with one another. In L2, larger N400s have been observed for L1 target words that are incorrect vs. correct translations of L2 prime words following brief L2 exposure (Pu et al., 2016), indicating that the N400 reflects mapping of the phonological forms of L2 words onto their meanings. However, L2 words learned with and without congruent representational gestures elicit similar N400s during subsequent recognition in an old-new paradigm despite eliciting differences in the late positive component, an ERP reflecting recognition (Kelly et al., 2009). To date, no research has examined whether the N400 differs for L2 words learned with representational gestures congruent vs. incongruent with their meanings.

In addition to semantic integration, the N400 also reflects prediction in a linguistic context, with larger N400s indicating lower predictability and smaller N400s indicating higher predictability. Although predictability is often conceptualized semantically, it applies to phonological processing, as well. In L1, larger N400s are elicited by non-rhyming than rhyming words in rhyme judgment tasks (Coch et al., 2005; Noordenbos et al., 2013; Perrin & Garcia-Larrea, 2003; Praamstra & Stegeman, 1993; Rugg, 1984; Rugg & Barrett, 1987). In L2, words differing from an expected word in a phoneme elicit larger N400s than the expected word (Heidlmayr et al., 2021). Although Mandarin words differing from expected words in a vowel elicit larger N400s than expected words in L1 speakers of atonal languages who are highly proficient in L2 Mandarin, there is no significant difference in N400s elicited by expected words and words differing from them in lexical tone in this population (Pelzl et al., 2019, 2021). Given that words differing from expected words in lexical tone elicit a larger N400 than expected words in L1 Mandarin speakers (Brown-Schmidt & Canseco-Gonzalez, 2004; Li et al., 2008), this finding suggests that differences in lexical tone may be insufficient to disrupt predictive processing of L2 Mandarin in L1 atonal language speakers. Thus, reinforcing the acoustic features of L2 lexical tone via pitch gesture at learning may be necessary to induce subsequent prediction of lexical tone in this population.

The current study employed the N400 to investigate the impact of observing pitch and representational gestures at learning on subsequent semantic and phonological processing of L2 Mandarin words differing minimally in lexical tone in L1 English speakers. In light of the research discussed above, it was predicted that observing pitch gestures at learning would elicit differences in the N400 for learned L2 Mandarin target words with meanings and lexical tones matching vs. mismatching prime words. These results would provide evidence that observing pitch gestures when learning L2 Mandarin words differing in lexical tone enhances word-meaning association via lexical tone differentiation.

### Methods

#### Participants

44 adult native English speakers without tonal language knowledge (age range: 18-32 years; 29 females, 13 males) participated on a volunteer basis or in return for partial course credit. All participants were right-handed and had normal hearing and normal or corrected-to-normal vision. Additionally, participants had no documented sensory, speech, language, learning, or neurological disorders. Data from 2 participants were excluded due to the presence of artifacts in more than 50% of trials. Thus, the final sample consisted of 42 participants.

#### Materials

Six pairs of monosyllabic Mandarin words differing minimally in lexical tone from Morett and Chang (2015) were used in this experiment (see Table 1). Each possible combination of lexical tones was represented in pairs, and words comprising each pair had meanings that could be conveyed transparently via representational gesture.

Videos for use during the learning phase were created by recording a female native Mandarin speaker fluent in English from the torso up saying each Mandarin word and its English translation twice in succession. While saying each Mandarin word, the speaker either produced a pitch gesture conveying the pitch contour of the word’s lexical tone, a representational gesture conveying the word’s meaning, or kept her hands still (see Figure 1).

Audio recordings for use during the test phase were created by recording a male native Mandarin speaker saying each word. A speaker of a different sex than the speaker featured in videos was featured in audio recordings to ensure that participants could generalize lexical tone across speakers.

### Table 1: Pairs of Mandarin words differing minimally in lexical tone with English translations.

<table>
<thead>
<tr>
<th>Pinyin</th>
<th>English</th>
<th>Pinyin</th>
<th>English</th>
</tr>
</thead>
<tbody>
<tr>
<td>hui1</td>
<td>to wave</td>
<td>hui2</td>
<td>to return</td>
</tr>
<tr>
<td>bao1</td>
<td>to pack</td>
<td>bao3</td>
<td>full</td>
</tr>
<tr>
<td>chou1</td>
<td>to pump</td>
<td>chou4</td>
<td>to stink</td>
</tr>
<tr>
<td>xiang2</td>
<td>to surrender</td>
<td>xiang3</td>
<td>to stink</td>
</tr>
<tr>
<td>tiao2</td>
<td>to shift</td>
<td>tiao4</td>
<td>to jump</td>
</tr>
<tr>
<td>duo3</td>
<td>to hide</td>
<td>duo4</td>
<td>to chop</td>
</tr>
</tbody>
</table>
Procedures

In the learning phase, participants were instructed to learn the meanings of Mandarin words as they would subsequently be tested on them, and no mention of the tonal properties of words was made. Participants were randomly assigned to one of three learning conditions: pitch gesture (n = 13), iconic gesture (n = 15), or no gesture (n = 14). In each trial of this task, one of the Mandarin words listed in Table 1 was presented in the video from the corresponding learning condition. Following a 1000 ms interstimulus interval, the English gloss of the preceding Mandarin word was presented for 1000 ms via text. Following a 1000 ms intertrial interval, the trial was repeated with the other Mandarin word in the pair to emphasize the difference in lexical tone between them (order of presentation counterbalanced across participants). All 12 words were presented in this manner in random order in 3 blocks, such that each word was presented 3 times and a total of 36 trials were presented in the learning phase.

The test phase consisted of two tasks: a meaning discrimination task and a lexical tone discrimination task. In both tasks, a prime word was presented with a fixation cross preceding it for a duration jittered between 450 – 500 ms interval. Following a 100 ms interstimulus interval, this sequence was repeated for a target word, which was followed by a 1000 ms intertrial interval. In the meaning discrimination task, English L1 target words either had the same meaning as the preceding Mandarin L2 prime word (k = 72) or a meaning that instead corresponded to the L2 Mandarin word paired with the Mandarin prime word (k = 72). In the lexical tone discrimination task, a prime and a different target word were selected from among the set of learned L2 Mandarin words. Prime and target words had either the same (k = 72) or different (k = 72) lexical tones.

EEG Recording and Data Analysis

Electroencephalographic (EEG) data were recorded via a 128-channel Hydrocel Geodesic sensor net (Electrical Geodesics, Inc., Eugene, OR, USA) with electrodes placed according to the international 10/20 standard. EEG signals were recorded using NetStation 5.4.2 with a NetAmps 300 Amplifier. The online reference electrode was Cz and the ground electrode had a centroparietal location. EEG data were sampled at 1,000 Hz with an anti-aliasing low-pass filter of 4000 Hz.

EEG data were pre-processed and analyzed offline using EEGLab and ERPLab. Continuous EEG data were high pass filtered at 0.1 Hz to minimize drift and re-referenced to the online average of all electrodes. Subsequently, excessively noisy or flat channels and data from between-block breaks were removed. Continuous data were then downsampled to 250 Hz, low pass filtered at 30 Hz, and segmented into epochs relative to target word onset. Epoched data were screened for artifacts and abnormalities using a simple voltage threshold of 100 μV and a moving-window peak-to-peak threshold with 500 ms windows, a 100 ms step function, and a 120 μV threshold. Across included participants, 11.5% of trials were rejected, with rejections equally distributed across conditions (F < 1). Finally, trials were classified by condition and congruency and averaged across participants for ERP analyses.

Following other studies examining the N400 for gesture-speech integration, the 300-500 ms time window was selected for statistical analysis. Mean amplitudes recorded during each condition were averaged across a central posterior “region of interest” (ROI) based on inspection of scalp voltage topographies and previous research (see Figure 2).

ERP data were analyzed using linear mixed effect models with condition and congruency as fixed factors, participant and channel as random factors, and mean amplitude in the N400 window as the outcome variable for both the meaning and lexical tone discrimination tasks. Prior to entry into these models, all fixed effects were coded using weighted mean centered (Helmert) contrast coding in order of the levels mentioned. Random slopes were included with the maximal random effect structure permitted to achieve model convergence. For all effects reaching significance for factors with more than two levels, Tukey HSD post-hoc tests were conducted using the emmeans package to test for differences between levels.
Results

Meaning Discrimination Task
We observed a main effect of congruency \((B=-3.31, SE=0.97, z=-3.40, p<.001)\) as well as interactions of congruency with the no gesture vs. pitch and representational gesture conditions \((B=-24.13, SE=2.00, z=-12.05, p<.001)\) and the no gesture and pitch vs. representational gesture conditions \((B=-8.78, SE=2.11, z=-4.17, p<.001)\). Estimated marginal means revealed that N400 amplitude was larger (i.e., more negative) for target English words with meanings incongruent than congruent with prime Mandarin words learned with pitch gestures \((B=9.40, SE=1.44, z=6.88, p<.001)\), whereas N400 amplitude did not differ for target Mandarin words with lexical tones incongruent vs. congruent with prime Mandarin words learned with representational gestures \((B=-2.68, SE=1.34, z=-1.99, p=.35)\) or no gesture \((B=0.91, SE=2.77, z=0.33, p=.99; \text{see Figure 3.)})

Lexical Tone Discrimination Task
We observed a main effect of congruency \((B=-2.66, SE=0.80, z=3.32, p<.001)\) as well as interactions of congruency with the no gesture vs. pitch and representational gesture conditions \((B=-24.13, SE=2.00, z=-12.05, p<.001)\) and the no gesture and pitch vs. pitch gesture conditions \((B=-7.86, SE=1.68, z=-4.69, p<.001)\). Estimated marginal means revealed that N400 amplitude was larger (i.e., more negative) for target Mandarin words with lexical tones incongruent than congruent with prime Mandarin words learned with pitch gestures \((B=6.88, SE=1.44, z=4.77, p<.001)\), whereas N400 amplitude did not differ for target Mandarin words with lexical tones incongruent vs. congruent with prime Mandarin words learned with representational gestures \((B=-1.34, SE=-1.99, p=.35)\) or no gesture \((B=0.91, SE=2.77, z=0.33, p=.99; \text{see Figure 4.)})

Discussion
The current study examined how observing pitch and representational gestures when learning L2 Mandarin words differing minimally in lexical tone affects L1 English speakers’ semantic and phonological processing via the N400. With respect to semantic processing, larger N400s were observed for English target words mismatching than matching the meanings of Mandarin prime words learned with pitch and representational gesture, but not no gesture. These results build on previous behavioral findings indicating that L1 English speakers are more likely to differentiate between the meanings of L2 Mandarin words differing minimally in lexical tone when they are learned with congruent pitch gestures than without gestures (Baills et al., 2019; Morett & Chang, 2015), providing the first evidence that the N400 reflects this increase in word-meaning association accuracy. Moreover, they provide the first
evidence that observing congruent representational gestures when learning L2 Mandarin words differing minimally in lexical tone enhances L1 English speakers’ differentiation between their meanings relative to no gesture. The lack of such evidence in previous research may be due to employment of behavioral measures (Kelly & Lee, 2012; Morett & Chang, 2015) and the old-new paradigm, which is less conducive to the N400 than the semantic priming translation paradigm employed in the current study, for ERP data collection (Kelly et al., 2009). In light of the novelty of the N400 differences in semantic processing of L2 Mandarin words differing in lexical tone learned with pitch and representational gesture observed in the current study, future research should reveal the extent to which these results can be replicated.

With respect to phonological processing, larger N400s were observed for Mandarin target words mismatching than matching the lexical tones of Mandarin prime words learned with pitch gesture, but not representational or no gesture. These results build on previous findings indicating that N400s are similar for expected and unexpected Mandarin L2 words differing from expected words in lexical tone in L1 English speakers (Pelzl et al., 2019, 2021), and that they differ in L1 Mandarin speakers (Brown-Schmidt & Cansec-Gonzalez, 2004; Li et al., 2008), suggesting that observing pitch gestures conveying the lexical tones of L2 Mandarin words at learning results in more L1-like differentiation between lexical tones. Thus, these results support behavioral findings demonstrating that observation of such pitch gestures when learning L2 Mandarin words differing in lexical tone facilitates L1 English speakers’ lexical tone categorization (Bailis et al., 2019; Hannah et al., 2017; Morett et al., 2022; Zhen et al., 2019). Notably, the ERP data collected during the lexical tone discrimination task is noisier and the N400 effect isn’t as large as it is in the meaning discrimination task. Thus, it is crucial to determine the extent to which differences in the N400 for L2 Mandarin words with matching vs. mismatching lexical tones learned with congruent pitch gestures can be replicated in future work.

In conclusion, the results of the current study reveal that observing pitch gestures when learning L2 Mandarin words differing in lexical tone enhances L1 English speakers’ differentiation between their lexical tones and meanings. Furthermore, they reveal that observing representational gestures when learning such L2 Mandarin words also enhances L1 English speakers’ differentiation between their meanings, albeit not to the same extent as observing pitch gestures. In addition to providing insight into replicability, future research should further explore the relationship between the N400 and behavioral measures. Nevertheless, the current study provides the first ERP evidence that observing gestures conveying phonological and semantic information during L2 word learning enhances subsequent phonological and semantic processing of learned L2 words.

References


